



E.S.

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#52 Sector \$  
PATENT

Case Docket No. CALIME.006A  
Date: December 21, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Guerra, et al.  
App. No. : 09/842,214  
Filed : April 25, 2001  
For : METHOD OF  
FABRICATING SUB-  
MICRON  
HEMISPHERICAL AND  
HEMICYLINDRICAL  
STRUCTURES FROM  
NON-SPHERICALLY  
SHAPED TEMPLATES

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner  
for Patents, Washington, D.C. 20231, on

December 21, 2001

(Date)

Thomas R. Arno, Reg. No. 40,490

Group Art Unit : 1746

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

ATTENTION: BOX MISSING PARTS

Dear Sir:

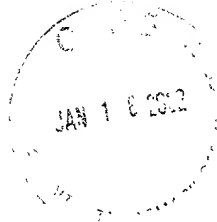
In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f),  
which was mailed by the Office on June 27, 2001, enclosed are:

- (X) An executed Declaration by Inventor(s).
- (X) An extension of time to respond for 4 months is hereby requested.

Time Extension Fee:

- |                                  |              |                        |
|----------------------------------|--------------|------------------------|
| <input type="radio"/>            | one month    | (\$110 large entity)   |
| <input type="radio"/>            | two months   | (\$400 large entity)   |
| <input type="radio"/>            | three months | (\$920 large entity)   |
| <input checked="" type="radio"/> | four months  | (\$1,440 large entity) |

01/24/2002 SSESHE1 00000028 09842214 1440.00 DP  
01 FC:118



PATENT

Case Docket No. CALIME.006A

Date: December 21, 2001

(X) A Notice to File Missing Parts.

(X) 7 sheets of substitute drawings

(X) Return prepaid postcard.


(X) Fees as calculated below:

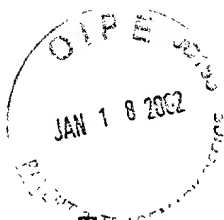
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FILING FEE		\$ 1130
FEE FOR EXTENSION OF TIME (LARGE ENTITY)	4 months	\$ 1440
SURCHARGE 37 CFR 1.16(e)		\$ + 130
TOTAL OF ABOVE CALCULATIONS		\$ 2700
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY. Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ -		
TOTAL FEES SUBMITTED HERewith		\$ 2700

(X) A check in the amount of \$2700 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
Thomas R. Arno  
Registration No. 40,490  
Attorney of Record

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/842,214	04/25/2001	Dmitri Vezenov	CALIME.006A

CONFIRMATION NO. 5385

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

## FORMALITIES LETTER



\*OC000000006231015\*

Date Mailed: 06/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/24/2002 SSESHE1 00000028 09842214

02 FC:101	740.00 OP
03 FC:103	54.00 OP
04 FC:102	336.00 OP
05 FC:105	130.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$374.
  - \$54 for 3 total claims over 20.
  - \$320 for 4 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1214.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature, possibly 'JF', written in dark ink.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

600 623 626 628 630 632 634 636 638 640 642 644 646 648 650 652 654 656 658 660 662 664 666 668 670 672 674 676 678 680 682 684 686 688 690 692 694 696 698 700 702 704 706 708 710 712 714 716 718 720 722 724 726 728 730 732 734 736 738 740 742 744 746 748 750 752 754 756 758 760 762 764 766 768 770 772 774 776 778 780 782 784 786 788 790 792 794 796 798 800 802 804 806 808 810 812 814 816 818 820 822 824 826 828 830 832 834 836 838 840 842 844 846 848 850 852 854 856 858 860 862 864 866 868 870 872 874 876 878 880 882 884 886 888 890 892 894 896 898 900 902 904 906 908 910 912 914 916 918 920 922 924 926 928 930 932 934 936 938 940 942 944 946 948 950 952 954 956 958 960 962 964 966 968 970 972 974 976 978 980 982 984 986 988 990 992 994 996 998 1000